

# **FUNDING GUIDELINES**

## **COMMUNITY ACTIVATION EVENT SPONSORSHIP**

## **Important dates**

Two rounds of grants available per year, opening in:

Round	<b>Applications Open</b>	Application Close	Applications Assessed	Council Decision
Round 1	1 April	31 May	June	July
Round 2	1 November	31 December	January	February

Late applications will not be considered

A Club or Community Organisation may only receive financial assistance once per year and only submit one application per funding round.

#### Enquiries and feedback always welcome:

Website: www.warwyn.tas.gov.au
Phone: Chantelle French 6443 8320
Enquiry email: cfrench@warwyn.tas.gov.au
Postal: PO Box 168, WYNYARD TAS 7325

21 Saunders Street. WYNYARD TAS 7325

## **FUND BACKGROUND**

Waratah-Wynyard is a vibrant municipality as a result of the hard work and commitment of its community. The Council values the contributions individuals and groups make to the cultural, social and economic development of Waratah-Wynyard. Through the provision of a Community Activation Event Grants, the Council supports projects, programs and activities developed for the benefit of Waratah-Wynyard residents.

The Community Activation Event Grants provides the opportunity for organisations to apply for funding assistance under the following areas:

- Signature Events Annual sponsored events
- New Events up to \$6,000 over 3 years reduced allocation
- One-Off Event

All event sponsorship to include recognition for Council

#### **Purpose**

To provide financial assistance towards economic development activities and events which attract local residents, tourists and visitors to the Waratah- Wynyard municipal area. Projects must provide social and economic benefits for the Waratah-Wynyard community, whilst complementing the current events program.

Projects which foster a strong sense of cultural and community spirit, provide sporting and recreational experiences and celebrate local art, cultural and heritage are encouraged.

#### Scope

- 1.1 Clubs and organisations located in the Waratah-Wynyard municipal area or which have substantial membership or community benefit to residents.
- 1.2 Applications and expressions of interest for event sponsorship funding.

The financial and in-kind assistance through Community Activation Event Grants provides the opportunity to apply for funding for, Recreation & Wellbeing, Art & Culture, Youth & Education, Community Support and Events.

#### What is the Community Activation Grants looking for?

The Community Activation Grants will be looking for projects that:

- meet current or identified future community needs
- demonstrate collaboration between organisations and maximise community benefit
- provide value for money
- have an ongoing commitment and demonstrated capacity to fund and support maintenance, management and/or replacement by the applicant.

#### How much funding is available?

We expect to allocate up to \$50,000 in grants in the two funding rounds. This allocation will be subject to receiving suitable funding proposals and satisfactory progress reports.

The Community Activation Grants program provides grant monies up to a maximum of \$2,000 per eligible projects, within allocated budget. Once the budgeted amount allocated for Community Activation Grants has been distributed no further allocations will be made in that financial year – even if the application meets all eligibility requirements.

## **Eligibility and Assessment Summary**

### To be eligible to apply, organisations must be:

- not for profit;
- incorporated or be a not-for-profit legal entity, or sponsored by an incorporated organisation or a not-for-profit legal entity; and
- based in Waratah-Wynyard, or proposing to undertake a project in Waratah-Wynyard.

#### Preference in funding will be given to projects that best demonstrate:

- benefit to the Waratah-Wynyard community;
- innovation and proactivity;
- an integrated and collaborative approach, avoiding duplication;
- value for money;
- community support for the project, particularly from any partners;
- high levels of other financial or in-kind contributions;
- the ability to build and strengthen community capacity;
- good prospects for successful implementation; and
- volunteer and community involvement with the project.
- Education providers are able to apply on the condition that the project/activity is open to all
  residents, has a broad community benefit and is not a core activity of the school.

#### **Funding exclusions:**

- Events that have already commenced or are completed;
- Events that have already received financial support from the Waratah-Wynyard Council in the same financial year
- Ongoing administration costs that are not related to the event
- Ongoing plant and equipment costs that are not directly related to the event
- Government agencies including schools and school agencies, i.e. parent & friends associations
- Funding for individuals
- Events that are primarily for individual or commercial profit
- Charity galas, balls and fundraising dinners/cocktail parties
- Markets, expos and events that are primarily a showcase for stallholders to demonstrate, promote or sell services or products
- Professional development activities requests for funding for professional development activities will be considered under Community Grants
- Events held external to and not for the benefit of the Waratah-Wynyard Municipality
- Council reserves the right not to process an application where an organisation has not met its
  obligations under a previous funding agreement.

Eligible, not for profit organisations include Councils and State Government Departments.

Most incorporated organisations in Tasmania are incorporated through the *Incorporation Act* however there are a few organisations that were established prior to this Act through their own Act of Parliament. If your organisation was incorporated through the *Incorporation Act* you should tick yes to the question that asks if you are incorporated and no to the question that asks if your organisation is constituted through an Act of Parliament other than the incorporations act.

Each round, the Fund receives more applications than it has the capacity to support. Therefore, some worthwhile projects may not be funded, or may be offered partial funding.

Potential applicants are encouraged to discuss their proposal with Fund staff early in the application process.

**WWC staff contact details:** Chantelle French: phone: 6443 8320

Enquiry email: <a href="mailto:cfrench@warwyn.tas.gov.au">cfrench@warwyn.tas.gov.au</a>

## PRESENTING YOUR APPLICATION

Forms available from Councils website www.warwyn.tas.gov.au or Council office.

Please note that applications must be received by 5pm (Tasmanian time) on round one 26th August and round two 31<sup>st</sup> December. Please ensure you allow enough time for your application to be submitted prior to this deadline. Once this deadline passes the ability to submit your application will no longer be available.

#### **OUR TOP TEN TIPS:**

- 1. Read and follow these guidelines and application questions carefully they will help you put your best case forward;
- 2. Discuss your project with WWC staff;
- 3. Aim to complete the application at least a few days before the closing date to address any last-minute issues. Late applications are not accepted;
- 4. Check and double-check your budget and GST status;
- 5. Make your application easy to read short, sharp and succinct and avoid using industry jargon;
- 6. Be realistic with project benefits, timeframes and the budget;
- 7. Provide evidence to support your proposal;
- 8. Ask a person unfamiliar with the project to read the application with a critical eye;
- 9. Provide the requested information only; and
- 10. Aim for your application's full approval, but plan for other results.

## **GRANT APPLICATION CHECKLIST**

Please use this checklist to help complete your application.

## Incomplete applications will not be considered.

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Before you prepare your application:	Read the guidelines carefully and consider if your organisation is eligible to apply	<b>_</b>
	Consider carefully the 'preference in funding' information	
	Contact the Waratah-Wynyard Council committee to discuss your project	
Before you submit your application, make sure you:	Provide information relating to your organisation, including ABN and GST status and incorporation or entity details	
	Indicate the region and project area	
	Clearly indicate if you have outstanding reporting obligations from previous grants	
	Complete all the required fields on the application form.	
	If your organisation is unincorporated or is not legal entity:	
	<ul><li>provide details of your sponsor; and</li></ul>	
	<ul> <li>attach the letter from your sponsoring organisation.</li> </ul>	
	Obtain Council or other approvals where necessary	
	Sign the application form	
	Keep a copy of your funding application for your records. The Waratah-Wynyard Council is unable to return your application or part of your application to you.	

## **ASSESSMENT PROCESS**

The Committee assesses all applications on their individual merits and against all other applications, in accordance with the processes outlined in these guidelines. The Committee may refer an application to relevant people for specialist advice.

After assessing an application, the Committee will:

- award the grant in full;
- award the grant in part (if this is acceptable to the applicant and the community benefit is considered sufficient);
- award the grant subject to meeting special conditions; or
- not award the grant.

#### **Delivery of Applications**

Applications close at 5pm on the relevant date for each grant round.

Applications submitted electronically by this time will be accepted. Late applications will not be considered.

#### Lodgment

Applications can be electronically submitted through <a href="mailto:council@warwyn.tas.gov.au">council@warwyn.tas.gov.au</a> or posted to Waratah-Wynyard Council, PO Box 168, WYNYARD TAS 7325 or hand delivered to Council 21 Saunders Street, WYNYARD TAS 7325.

## ARRANGEMENTS FOR SUCCESSFUL APPLICANTS

#### **Funding Agreement**

All successful applicants will be required to enter into a Funding Agreement with the Waratah-Wynyard Council. If the applicant is unincorporated or is a non-legal entity, the project sponsor and applicant will sign the funding agreement.

The agreement sets out the obligations of the parties. It is important to ensure that you fully understand the obligations under the agreement before signing it. In particular, the agreement binds the recipient/sponsor to:

- use the grant for the purpose in which it was provided, and for the specific items listed in the agreement;
- meet any specified conditions required by us;
- seek written permission from us prior to any changes to the project, budget or timeframe;
- provide satisfactory project reports on time;
- return any unexpended funds; and
- acknowledge the Waratah-Wynyard Council as a source of funding for the project.

Approval of a grant does not commit the Waratah-Wynyard Council to any future financial assistance to the organisation.

#### **Payment of the Grant**

Once the agreement has been signed, the funds will be electronically transferred to the nominated bank account of the organisation or cheque, subject to any special conditions being met. Where a recipient is unincorporated or is a non-legal entity, payment will be made to the sponsoring organisation.

The Waratah-Wynyard Council will often provide a single grant payment. However, funding may be provided over a longer timeframe or in installments, if an applicant requests or at the Waratah-Wynyard Council's discretion. The timing and amounts of such payments will be discussed with the successful recipient. The Waratah-Wynyard Council will usually require that satisfactory reports be provided before instalment payments will be made.

#### **Reporting Obligations**

The Waratah-Wynyard Council wants to hear how the project achieved its objectives. Each recipient is to provide a report to us within **3 months of the project's completion date** specified in the agreement.

Final project reports will document the outcomes and achievements of the project and measure its success in meeting its original objectives. It is an important document because it is the proper way grant recipients show the community, through the Waratah-Wynyard Council, that the funds have been used for the community's benefit.

Grant recipients are encouraged to include in reports additional information such as photographs, newsletters, newspaper clippings, etc.

Where an organisation (applicant OR sponsor) has outstanding reporting obligations, it will not be considered for future funding until its obligations have been met. This is to ensure that funds allocated to current projects have been used appropriately. The Waratah-Wynyard Council is able to accurately and effectively record organisations that submit late, incomplete or inaccurate reports and may use this information to determine any risks associated with future applications.

#### Acknowledging the Waratah-Wynyard Council

In order to promote the WWC as a source of funding for the community, and to show how the community's money is spent, successful applicants are required to acknowledge the support provided by the WWC. The specific mechanism for this acknowledgement may be part of a special condition included with the grant agreement.

Common methods for recognising the Waratah-Wynyard Council include plaques, signage, acknowledgment in newsletters and annual reports, and displaying logos on vehicles. Remember to ensure any costs for signs, plaques, etc are covered in the project costs. Applicants are asked to be modest in their costing of this item.

Please note that when acknowledging WWC support, the WWC logo and/or the words 'supported by the Waratah-Wynyard Council should be used. The WWC Office must approve the final wording and layout of any acknowledgement.

Please discuss this requirement with the Committee Office if you have any questions.

#### Withdrawal of Grant

The Waratah-Wynyard Council has a responsibility to ensure the funds are managed in the best interests of the WWC community. Grant recipients must ensure public funds are properly expended.

Once awarded, the Committee may withdraw the grant, at any time before or after the grant agreement is issued, if obligations to the Fund have not been met including:

- the Committee's assessment was based on misleading or incorrect material information provided in the application;
- there are any material changes, within or beyond the applicant's control, that would alter the Committee's decision;
- the Waratah-Wynyard Council is unable to gain appropriate information to progress the Grant Agreement or any other aspect of the project management;
- the recipient is unable to demonstrate to the Committee's satisfaction that the grant, or part of the grant, has been used for its intended purpose and on the approved items;
- the recipient has not received prior written approval from the Committee for any changes to the project, budget or timetable;
- it becomes apparent that the project had commenced or been completed prior to the project being approved;
- the recipient fails to submit a progress or final report by the scheduled due date;
- the project has not commenced within 12 months of notification of the awarding of the grant, whether or not the reason(s) is under the control of the recipient; or
- the grant is used for a private benefit.

If the grant has been paid in part or full, the Waratah-Wynyard Council has the option of seeking the return of the funds. If the grant has not yet been paid, the grant may be cancelled.

An organisation's record in meeting its obligations will be considered when assessing any future grant application.

In some circumstances, organisations may re-apply for funding if their grant has been withdrawn. Please discuss this with Waratah-Wynyard Council staff.

## **INFORMATION FOR SPONSORS**

An incorporated association or other not-for-profit legally constituted body must take responsibility for the project. This means that if an unincorporated body wishes to apply for funding, it must have a sponsor to auspice the project. Applicants and sponsors must both meet the organisation eligibility requirements on page 3 e.g. they must be not-for-profit, with no overdue Fund reporting requirements.

If the grant is awarded, the sponsor agrees to accept legal and financial responsibility for the project. In particular, the sponsor will ensure:

- the grant is used only for the purpose in which it was provided, and for the specific items listed in the agreement;
- the project is completed within the agreed timeframe;
- prior written approval from the Committee is sought if changes to the project, budget or timeframe are required; and
- reporting obligations are completed by the due date, including an audit report where applicable.

#### Letter from the sponsor

Sponsors are required to include a signed copy of the letter at **Attachment A** on their official letterhead.

#### **Grant agreement**

The grant agreement will be made with the sponsor. The Waratah-Wynyard Council will also request the applicant sign the agreement to help ensure that they are also familiar with the obligations.

#### **Grant payment**

The grant is paid to the sponsor, not the applicant.

Please ensure the applicant and sponsors have come to an arrangement about the process for paying the costs of the project. Some sponsors may choose to forward the grant funds directly to the applicant; others may wish to hold the funds and reimburse funds on production of invoices. This is a matter between the sponsor and applicant.

#### **Grant acquittals**

The project sponsor is ultimately responsible for ensuring reporting requirements have been met.

#### What the Community Activation committee expects from applicants and recipients

The Community Activation committee expects grant applicants to assist the Board when they are assessing grant applications by:

- providing accurate and complete information in accordance with the Grant's guidelines;
- meeting any reasonable requests for additional information, including through site visits;
- providing the application with the required information, in the requested format, by the grant round closing date; and
- notifying the Committee of any changes which may affect the grant application once submitted.

The Community Activation Committee expects grant recipients to be accountable for the expenditure of public funds by:

- only expending funds on the approved purposes and specific items;
- meeting any special funding conditions;
- completing the project within the agreed timeframe;
- seeking written consent from the Committee prior to any changes to the project, budget or timeframe;
- returning unexpended funds to the Committee for distribution to other recipients;
- providing complete reports to the Committee in a timely and accurate manner;
- meeting any requests for information about the project, including site visits;
- assisting an independent evaluation of the project if required;
- meeting requests for media coverage of the project;

# Attachment A: Sponsoring Organisation Letter This letter must be provided on the sponsoring organisation's official letterhead

#### [Date]

Miss Chantelle French Community & Events Officer Waratah-Wynyard Council PO Box 168 WYNYARD TAS 7325

#### Dear Miss French

Our organisation is incorporated or is a not-for-profit legal entity and is prepared to act as the sponsoring body for the [name of applying organisation] should it be successful in obtaining funding from the Waratah-Wynyard Council (WWC) to undertake [name of proposed project].

We are aware that the grant will be paid to our organisation and we accept the legal and financial obligations involved in accepting the grant.

In particular, our organisation will accept responsibility for ensuring:

- the grant is used only for the purpose for which it was provided, and for the specific items listed in the agreement;
- the project is completed within the agreed timeframe;
- prior written approval of the WWC Committee is sought if changes to the project, budget or timeframe are required; and
- reporting obligations are completed by the due date, including providing sufficient evidence of expenditure of the grant.

I understand if we do not meet our obligations, the WWC may withdraw the grant and request that any funding be returned.

I understand that if our organisation or the applicant has outstanding obligations from previous grants, including overdue reports, this application will not be considered for funding.

I am authorised to provide this letter on behalf of the sponsoring body.

Yours sincerely

[name] [position in organisation]